

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
97-563-R1

In Re Application Of: **JAY S. WALKER et al.**

Serial No.
Not Yet Assigned

Filing Date
October 2, 2003

Examiner
Not Yet Assigned

Group Art Unit
2162

Title:

METHOD AND APPARATUS FOR GENERATING A COUPON

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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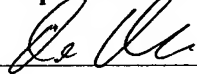
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Dated: October 2, 2003

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INFORMATION DISCLOSURE CITATION				Docket Number 97-563-R1		Serial Number Not Yet Assigned	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation	
							Yes	No
	A	EP 0 085 546 A2 ✓	08/10/83	Europe				
	B	EP 0 109 189 A1 ✓	05/23/84	Europe				
	C	EP 512413 A ✓	11/11/92	Europe (Abstract)				
	D	JP 5242363 A ✓	09/21/93	Japan (Abstract)				
	E	WO 96/36926 ✓	11/21/96	PCT				
	F	WO 97/28510 ✓	08/07/97	PCT				
	G	WO 97/35441 A ✓	09/25/97	PCT (Abstract)				
	H	WO 97/46961 ✓	12/11/97	PCT				
	I	WO 97/50064 ✓	12/31/97	PCT				

OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>		

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	J	Brochure, "Introducing the Digital MenuBoard", Siren Technologies, Inc., (www.sirentech.com).	
	K	Brochure: "It's in the Bag. Introducing the Universal Holding Cabinet from Welbilt.®", Welbilt, undated.	
	L	Brochure: "Reaching Out In New Directions", First Data Corporation, Merchant Services, undated. ✓	
	M	"Cape Town", Reuters, Ltd, November 8, 1979.	
	N	"Save the Mark", Financial Times (London), February 1, 1983, Section I, Men & Matters, pg. 12.	
	O	Cook, Louise, "ConsumerWatch: Clip, Snip, Save", The Associated Press, March 12, 1984, Section: Business News.	
	P	Greene, Jan, "Farm bills please assns; National Grocers Association", Supermarket News, December 23, 1985, Section: Vol. 35, pg. 6, ISSN: 0039-5803.	
	Q	"POS spectrum: a lottery looks to POS for growth", POS News, January 1989, Section: Vol. 5, No. 7, pg. 8, ISSN: 0896-6230, CODEN: BHORAD.	
	R	Kuttner, Robert, "Computers May Turn The World Into One Big Commodities Pit", Business Week, September 11, 1989, Section: Economic Viewpoint, Number 3123, pg. 17.	
	S	Schrage, Michael, "An Experiment In Economic Theory; Labs Testing Real Markets", The Record, November 26, 1989, Section: Business, pg. B01.	
	T	"Let's Play the Cash Register Receipts Lottery", The New York Times, December 25, 1990, Section 1, pg. 30, Col. 4, Editorial Desk.	
	U	Del Rosso, Laura, "Marketel says it plans to launch air fare 'auction' in June; Marketel International Inc.", Travel Weekly, April 29, 1991, Section: Vol. 50, No. 34, pg. 1, ISSN: 0041-2082.	
	V	"Philips offers customers financing through Citicorp", Health Industry Today, June 1991, Section: Vol. 54, No. 6, pg. 4, ISSN: 0745-4678.	
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	Y	"Coupons get serious; supermarkets use barcodes to prevent misredemptions", Chain Store Age Executive with Shopping Center Age, October 1992, Section: Vol. 68, No. 10, pg. 68, ISSN: 0193-1199.	
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	AA	Jones, Jeanne, "Data Readers Streamline Management; Scanner Technology Aids Retailers As Well As Plants, Wholesalers", The Houston Post, June 26, 1994, Section: Business, pg. D1.	
	BB	Fiorini, Phillip, "'No Place For Penny?' / Smallest coin doesn't make cents to some", USA Today, July 29, 1994, Section: News: pg. 1A.	
	CC	Smith, Alison, "Survey of UK Consumer Credit and Asset Finance", Financial Times, November 3, 1994, Section: pg. VI.	
	DD	Andreoli, Tom et al., "Cash Machines Offer a Whole Lotto Money for Withdrawal...", Crains Chicago Business, June 19, 1995, Section: News, pg. 8.	
	EE	"Spain: BBV launches new card", Cards International, June 22, 1995, Section: pg. 5.	
	FF	Knippenberg, Jim, "Psst! Will local radio empires strike back?", The Cincinnati Enquirer, July 23, 1995, Section: Tempo, pg. F01.	
	GG	Silverman, Gene, "Planning and using infomercial campaigns effectively", Direct Marketing, September 1995, Vol. 58, No. 5, pg. 32(3).	
	HH	Brochure, "Cyber Bid", Net Fun Ltd., Copyright 1996.	
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II	McKinney, Jeff, "Merchant program could pay off for Provident", The Cincinnati Enquirer, March 24, 1996, Section: Financial, pg. E02.		
JJ	Raab, David M., "Package Aids Catalog Management", DM News, April 22, 1996, Section: Software Review, pg. 14.		
KK	Hadley, Kimberly, "Pastors praying anti-arson effort will burn bias", The Nashville Banner, July 26, 1996, Section: News at Pg. A13.		
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NN	Taylor, Paul, "Towards a dream market", Financial Times (London), September 4, 1996, Section: Survey – FT IT, pg. 03.		
OO	"Bigger Plans (Provident Bank, issuer of MeritValue customer loyalty card, plans to offer program in 25 cities in six months)", Card Fax, October 7, 1996, Vol. 96, No. 178, pg. 2.		
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QQ	Fitzgerald, Kate, "Amex Program Moves Loyalty to Next Level: Custom Extras Finds a Medium Customers Can't Ignore: Billing Statements", Advertising Age, November 4, 1996, Section: News, pg. 2.		
RR	Bonnici, Joseph et al., "Consumer issues in coupon usage: An exploratory analysis", Journal of Applied Business Research, Winter 1996/1997, Section: Vol. 13, No. 1, pp. 31-40, ISSN: 0892-7626, CODEN: JPBEBK.		
SS	Rehayem, Gilbert, "Opinion: X-Press Betting", La Fleur's Lottery World, February 7, 1997.		
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	TT	Quittner, Jeremy, "Ohio's Provident brings its discount card to Fla.", American Banker, February 11, 1997, Vol. 162, Issue 28, pg. 11, 1/3p., ISSN: 0002-7561.	
	UU	Symons, Allene, "Lucky, Sav-on debut Rewards Card.", Drug Store News, February 17, 1997, Vol. 19, Issue 4, p3, 2p, 1c, ISSN: 0191-7587.	
	VV	Mckeveny, Alexander, "Giving them a good reason.", Bank Marketing, March 1997, Vol. 29, Issue 3, p37, 4p, 4c, ISSN: 0888-3149.	
	WW	Singletary, Michelle, "Electronic World, Unchecked Problem? U.S. Move to Paperless Payments Raises Worries About Those Who Don't Use Banks", The Washington Post, March 4, 1997, Section: Financial at Pg. C01.	
	XX	Fickenscher, Lisa, "Merchant: American Express Seeks to Mine Its Data on Cardholder Spending Patterns", The American Banker, March 24, 1997, Section: Credit/Debit/ATMs, pg. 20.	
	YY	Kelsey, John and Schneier, Bruce, "Conditional Purchase Orders", Fourth ACM Conference on Computer Communication Security, ACM Press, April 1997, pp. 117-124.	
	ZZ	"Dispensing the Future", Electronic Payments International, May 1997, Section: Feature, pg. 12.	
	AAA	"Avco Financial Services", National Home Furnishing Association, (http //www homefurnish comNHFA/avco htm), download date: May 23, 1997.	
	BBB	Website, "Catalina Marketing Corporation", (http //catalinamktg com/prodcdir htm), download date: May 29, 1997.	
	CCC	Industry Briefs", Card News, June 9, 1997, Section: Vol. 12, No. 11.	
	DDD	Riordan, Teresa, "Patents; A novel approach to making a better spermicide harks back to some old-fashioned methods", The New York Times, June 9, 1997, Section D, Pg. 2, Column 1, Business/Financial Desk.	
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	GGG	"Classified 2000: The Internet Classifieds", Classifieds2000, Inc., (http //www classifieds2000 com/cgi-cls/Display exe?C2K+aboutus), download date: August 6, 1997.	
	HHH	"General trading information and terms provided by tradingfloor.com", (http //www tradingfloor com/info htm), download date: August 14, 1997.	
	III	"NASDAQ", Information Sheet, (http //home axford com/corfin/corfl1 htm), download date: August 15, 1997.	
	JJJ	Prochaska-Cue, Kathy, "Acquiring Credit", (http //ianrwww unl edu/IANR/PUBS/NEBFACTS/NF91-2.HTM), download date: September 3, 1997.	
	KKK	Website: "IAO – Onsale – GSCI – Zauction – Cyberswap – Auction World", (http www iaoauction com/about htm), download date: September 8, 1997.	
	LLL	Website: "Welcome to ONSALE", (http www onsale com), download date: September 8, 1997.	
	MMM	Hoeschen, Brad, "Brookfield Square hopes mall card strikes a chord", Business Journal-Milwaukee, September 12, 1997, Section: Vol. 14, No. 50, pg. 19.	
	NNN	Website: "NCR 7452 Workstation -- Beyond Traditional POS", (http //www ncr com/product/retail/products/catalog/7452 shtm), download date: September 23, 1997.	
	OOO	Kirk, Jim, "Digital Promotions Make Quick Point – McDonald's testing new technology on its menus", Chicago Tribune, December 26, 1997, pp. 1-2.	
	PPP	"From Our Family to Yours...5 Weeks of Coupon Values for a Valuable Customer!", Wakefern Food Corporation, 1998.	
	QQQ	Brochure: "NCR 7453 PC-Based Point-of-Sale Solution", NCR Corporation, Copyright 1998.	
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	RRR	"Progressive Introduces Kitchen Display System (KDS) For Restaurants", PR Newswire, January 23, 1998, Section: Financial News.	
	SSS	"JICC Coupon Guide Recommends Upgrades at Front End", Supermarket News, February 9, 1998, Section: pg. 23, ISSN: 0039-5803.	
	TTT	Livingston, Kimball, "In-Store systems, VideOcart Redux", Retail Tech Magazine, March 1998, pp. 29-30.	
	UUU	Website: "Advanced Mechanics Internet Specials", (http //www metroplexweb com/advcpn2 htm), download date: March 12, 1998.	
	VVV	Website: "U.P.C. Coupon Code Guidelines Manual", Uniform Code Council, Inc., (http //www uc-council org/d31-3 htm), download date: March 12, 1998.	
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	XXX	Hamstra, Mark, "Segment Study: 'Made-for-you' maneuvers signal competitive shift n QSR category", Nation's Restaurant News, April 13, 1998, pg. 54.	
	YYY	"POSitive Input; The McDonald's POS-3 System Newsletter from Olivetti Solutions/OLSY", McDonald's, Spring/Summer Edition 1998, pp. 1-8.	
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	AAAA	"Universal Holding Cabinet Rollout Program", H & K Dallas Inc., Winter 1998.	
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